



Attorney Docket: 39303-20200.00

Date: January 31, 2001

Inventor(s): Motoichi TAMURA

USSN: 09/667,945

Title: METHOD AND APPARATUS FOR PRODUCING A  
WAVEFORM USING A PACKET STREAM

1. Transmittal Form;
2. Supplemental Information Disclosure Statement;
3. PTO 1449;
4. 4 cited references;
5. This return receipt postcard.



DLF/mkr - la

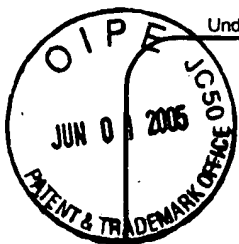
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Total Number Of Pages In This Submission</b>	<b>Application Number</b>	09/667,945
	<b>Filing Date</b>	September 22, 2000
	<b>First Named Inventor</b>	Motoichi TAMURA
	<b>Group Art Unit</b>	2645
	<b>Examiner Name</b>	Not yet assigned
	<b>Attorney Docket No.</b>	393032020000

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	DAVID L. FEHRMAN
Signature	
Date	January 31, 2001

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 31, 2001.

Marsha K. Reynolds

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PATENT  
Docket No. 393032020000



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Assistant Commissioner for Patents, Washington, D.C. 20231, on Jan 31, 2001.

*Marsha Reynolds*  
Marsha Reynolds

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Motoichi TAMURA

Serial No.: 09/667,945

Filing Date: September 22, 2000

For: METHOD AND APPARATUS FOR  
PRODUCING A WAVEFORM USING A  
PACKET STREAM

Examiner: Not yet assigned

Group Art Unit: 2645

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record in the application.

The documents listed on the attached Form PTO-1449 were cited in a Search Report mailed on January 5, 2001 (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \$240 is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 393032020000. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: Jan 31, 2001

Respectfully submitted,

By: David L. Fehrman  
David L. Fehrman  
Registration No. 28,600

Morrison & Foerster LLP  
555 West Fifth Street  
Suite 3500  
Los Angeles, California 90013-1024  
Telephone: (213) 892-5601  
Facsimile: (213) 892-5454

Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 39303.20200.00

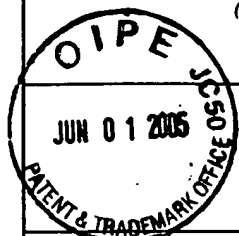
Application Number : 09/667,945

Applicant

Motoichi TAMURA

Filing Date: 09/22/2000

Group Art Unit: 2645



## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	04/05/94	5,300,724	Medovich			
	2.	02/11/97	5,602,356	Mohrbacher			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	3.	04/07/99	EP 0 907 160	Europe			
	4.	01/05/01	EP 00 12 0871 Communication (Search Report)	Europe			

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER: (examiner)

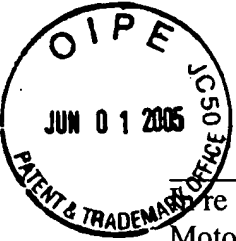
DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

VIA EXPRESS MAIL (Label No. EV375956084US)

PATENT  
Docket No. 393032020000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re Patent Application of:  
Motoichi TAMURA

Application No.: 09/667,945

Group Art Unit: 2655

Filed: September 22, 2000

Examiner: Michael N. Opsasnick

For: METHOD AND APPARATUS FOR  
PRODUCING A WAVEFORM USING A  
PACKET STREAM

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Arlington, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Japanese office action mailed on September 14, 2004 directed to a counterpart application. Japanese and English translations of this office action are attached. A certification under 37 C.F.R. § 1.97(e)(1) follows:

The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

This Information Disclosure Statement is submitted

- ☒ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_\_ is enclosed.
- ☒ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.

On September 22, 2000 an information disclosure statement was submitted to the Patent Office enclosing European Publication No. 0847039, and on January 31, 2001, another information disclosure statement was submitted enclosing European Publication No. 0907160. Please note that the enclosed Japanese Laid Open Publication Nos. 10-214083 and 11-167382 respectively correspond to these European prior art documents.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Director to charge the cost of



such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 393032020000. However, the Director is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: November 4, 2004

Respectfully submitted,

By: 

Mehran Arjomand  
Registration No. 48,231

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Form PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 393032020000

Application Number 09/667,945

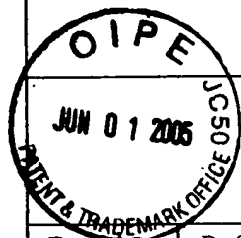
Applicant

Motoichi TAMURA

Filing Date September 22, 2000

Group Art Unit 2655

Mailing Date November 4, 2004



## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	1.	08/11/1998	10-214083	Japan			Abstract
	2.	06/22/1999	11-167382	Japan			Abstract

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.